



PATENT
81864.0034

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

ISHIZAKA, et al.

Serial No: 10/799,153

Filed: March 11, 2004

For: R-T-B System Rare Earth Permanent
Magnet

Art Unit: 1742

Examiner: Not Assigned

**TRANSMITTAL OF
INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

I hereby certify that this correspondence
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May 31, 2005

Date of Deposit

Shingale Ferguson

Name

Signature

May 31, 2005

Date

Dear Sirs:

1. ☒ The information disclosure statement submitted herewith is being filed within three months of the filing date of the application other than a continued prosecution application, or within three months of the date of entry into the national stage of an international application, or before the mailing date of a first Office Action on the merits, or before the mailing of a first Office action after the filing of a request for continued examination under §1.114, whichever event occurs last. 37 C.F.R. §1.97(b).
2. ☐ The information disclosure statement transmitted herewith is being filed *after* the period specified in §1.97(b), but *before* the mailing date of a final action under §1.113, or a notice of allowance under §1.311, or an action that otherwise closes prosecution in the application, whichever occurs first. A statement specified in §1.97(e) or a fee set forth in §1.17(p) is included. 37 C.F.R. §1.97(c).

§1.97(e) STATEMENT

I, the person signing below, state:

- ☐ that each item of information contained in the information disclosure statement was first cited in the attached communication from a foreign patent office in a counterpart foreign application and that the communication is dated not more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1).

OR

- ☐ that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in

§1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(2).

OR FEE

- ☐ Attached is a fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(c). (\$180.00). [OR:] Please charge the fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(c) (\$180.00) to Deposit Account No. 50-1314. A copy of this petition is enclosed.
3. ☐ The information disclosure statement transmitted herewith is being filed *after* the period specified in §1.97(c), but before, or simultaneously with the payment of the issue fee. A statement specified in §1.97(e) and a fee set forth in §1.17(p) are included. 37 C.F.R. §1.97(d).

§1.97(e) STATEMENT

I, the person signing below, state:

- ☐ that each item of information contained in the information disclosure statement was first cited in the attached communication from a foreign patent office in a counterpart foreign application and that the communication is dated not more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(1).

OR

- ☐ that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement. 37 C.F.R. §1.97(e)(2).

AND FEE

- ☐ Attached is a fee set forth in 37 C.F.R. §1.17(p) for submission of an information disclosure statement under §1.97(d). (\$180.00).
4. ☒ If it should be determined that for any reason either an insufficient fee or an excessive has been paid, please charge any insufficiency or credit any overpayment necessary to ensure consideration of the information disclosure statement for the above-identified application to Deposit Account No. 50-1314. **A copy of this petition is enclosed.**
5. ☒ A list of [#] reference(s) is in the enclosed Form PTO-1449.


NON-ENGLISH LANGUAGE REFERENCES

- ☒ Enclosed is a search report for a counterpart application. The search report Examiner has provided comments on the relevancy of any non-English language references cited in the search report.
- ☐ The specification incorporates comments on the relevancy of Non-English language references.
- ☐ Set forth below are comments provided by the applicant's home country counsel on the relevancy of non-English language references:

Respectfully submitted,
HOGAN & HARTSON L.L.P.

Date: May 31, 2005

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Docket Number (Optional)
81864.0034Application Number
10/799,153

INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(Use several sheets if necessary)

Applicant

ISHIZAKA, et al.

Filing Date

March 11, 2004

Group Art Unit

1742

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	2002/0017340	02/14/02	HIROTA, et al.			

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						YES	NO
	10-041113	02/13/98	JAPAN			Abstract	
	1 164 599	12/19/01	EP			X	
	62-074054	04/04/87	JAPAN			Abstract	
	0 302 395	02/08/89	EP			X	
	10-259459	09/29/98	JAPAN			Abstract	
	0 935 260	08/11/99	EP			X	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

	KIM, et al., "Microstructure of ZR Containing NDFEB", IEEE Transactions of Magnetics, IEEE Inc., NY, US, vol. 33, no. 5, Part 2, September 1997, pgs. 3823-3825
	POLLARD, et al., "Effect of ZR Additions on the Microstructural and Magnetic Properties of NDFEB Based Magnets", IEEE Transactions on Magnetics, IEEE Inc., NY, USA, vol. 24, no. 2, March 1988, Pgs. 1626-1628
	BESENICAR, "The Influence of ZRO2 Addition on the Microstructure and the Magnetic Properties of ND-DY-FE-B Magnets", Journal of Magnetism and Magnetic Materials, Amsterdam, NL, vol. 104-107, Part 2, February 1992, pgs. 1175-1178
	BESENICAR, et al., "The Influence of ZRO2 Addition on Phase Composition in the ND-DY-FE-B System and Improved Corrosion Resistance of the Magnets", IEEE Transactions on Magnetics, IEEE Inc., NY, US, vol. 30, no. 2, Part 2, March 1994, pgs. 693-695

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.